

## GOVERNANCE AND AUDIT COMMITTEE

Decision Notice – Meeting, 11<sup>th</sup> July, 2022.

The Committee agenda is available [here](#).

The Meeting recording is available [here](#).

Present: G. Chapman (Chair and Lay Member); N. Ireland (Vice-Chair and Lay Member); Councillors: E. Goodjohn, M.J. Hooper, J.M. Norman, J. Protheroe and N.J. Wood; and M. Evans (Lay Member)

Also present: Councillor L. Burnett and S. Wyndham (Audit Wales).

### AGENDA ITEM 1. APOLOGY FOR ABSENCE –

This was received from Councillor P. Drake.

### AGENDA ITEM 2. MINUTES –

RESOLVED – T H A T the minutes of the meeting held on 13<sup>th</sup> June, 2022 be approved as a correct record.

### AGENDA ITEM 3. DECLARATIONS OF INTEREST –

No declarations of interest were received.

### AGENDA ITEM 4. ANNUAL CORPORATE SAFEGUARDING REPORT 2021/22 (REF) –

RESOLVED -

- (1) T H A T the Governance and Audit Committee receive updates on Corporate Safeguarding activities on a 12 monthly basis.
- (2) T H A T future update reports contain a Glossary of Terms and illustrate the levels of Safeguarding responsibility at local, regional and national levels.

### Reasons for decisions

- (1) To ensure that the Governance and Audit Committee was undertaking its responsibilities to monitor Safeguarding arrangements.

(2) To ensure that future update reports are more accessible and contain more information regarding Safeguarding arrangements at a local, regional and national levels

AGENDA ITEM 5. CORPORATE RISK REGISTER QUARTER 4 UPDATE  
(CX) -

RESOLVED -

(1) T H A T the Quarter 4 position of corporate risks (April 2021-March 2022) outlined in Annex A to the report be noted.

(2) T H A T the report be referred to Cabinet recommending the removal of the Welfare risk and the inclusion of Cost of Living risk on the Register for the next quarter.

Reason for decisions

(1) Having regard to the contents of the report and discussions at the meeting.

(2) To highlight to Cabinet an emerging issue.

AGENDA ITEM 6. UNAUDITED STATEMENT OF ACCOUNTS 2021/22  
(HF/S151O) -

RESOLVED -

(1) T H A T the comments of the Committee regarding the unaudited Vale of Glamorgan Council Statement of Accounts for 2021/22 be referred to the Head of Finance as Section 151 Officer for subsequent discussion with the Council's external auditors, Audit Wales.

(2) T H A T the comments of the Committee regarding the unaudited Joint Committee Shared Regulatory Services Statement of Accounts for 2021/22 and the Shared Regulatory Services Annual Governance Statement be referred to the Head of Finance as Treasurer of the Joint Committee for subsequent discussion with the external auditors, Audit Wales.

(3) T H A T the comments of the Committee regarding the Annual Return for the Vale, Valleys and Cardiff Regional Adoption Service 2021/22 be referred to the Head of Finance as Treasurer of the Joint Committee for subsequent discussion with the external auditors, Audit Wales.

Reasons for decisions

(1) To allow for the initial review of the unaudited Vale of Glamorgan Council Statement of Accounts by those charged with governance.

(2) To allow for the initial review of the unaudited Shared Regulatory Services Statement of Accounts and Annual Governance Statement by those charged with governance.

(3) To allow for the initial review of the unaudited Valleys, Vale and Cardiff Regional Adoption Service Annual Return by those charged with governance.

AGENDA ITEM 7. DRAFT ANNUAL GOVERNANCE STATEMENT 2021/22 (HF/S1510) -

RESOLVED – T H A T the draft Annual Governance Statement for 2021/2022 be recommended for adoption by the Leader and Chief Executive.

Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 8. ANNUAL CORPORATE FRAUD REPORT 2021/22 (HRIAS) -

RESOLVED - T H A T the contents of the report, the measures in place and the work being undertaken to prevent and detect fraud and error be noted.

Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 9. PROGRESS AGAINST THE INTERNAL AUDIT RISK BASED PLAN 2022-23 (HRIAS) -

RESOLVED -

(1) T H A T the contents of the report and the progress made against the 2022-23 Internal Audit Annual Risk Based Plan be noted.

(2) T H A T the Council's Strategic Leadership Team be advised of the view of the Governance and Audit Committee regarding the importance of planned Internal Audit work be being carried and that it be prioritised and supported by Senior Management.

Reasons for decisions

(1) Having regard to the contents of the report and discussions at the meeting.

(2) To highlight to the Strategic Leadership Team the importance to prioritise audit reviews which had been delayed and not undertaken during 2021-22.

AGENDA ITEM 10.            UPDATED FORWARD WORK PROGRAMME (HRIAS) -

RESOLVED –

- (1)    T H A T the Forward Work Programme be noted.
- (2)    T H A T the Head of the Regional Internal Audit Service in conjunction with the Director of Corporate Resources, the Head of Finance and the Democratic and Scrutiny Services Officer, hold discussions around the timetabling of items for Committee meetings to be held in September and October.

Reasons for decisions

- (1)    To ensure the Governance and Audit Committee is aware and informed of progress on the proposed work programme.
- (2)    To timetable the reports and agenda items for the September and October meetings of the Governance and Audit Committee.